

Pictet-Spengler Reactions in Aprotic Media. Stereospecificity in the Pictet-Spengler Reaction

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Earlier, it was reported that execution of the Pictet-Spengler reaction of tryptophan methyl esters (**1a** or **1b**) (Scheme I) with acid-labile aldehydes in aprotic media (PhH, Δ) permitted the synthesis of a wide variety of *cis*- and *trans*-1,3-disubstituted 1,2,3,4-tetrahydro- β -carbolines (**3** and **4**, respectively) in high yield.¹⁻³ Later, it was demonstrated that condensation of *N*_b-benzyltryptophan methyl ester (**5a**) with aldehydes **2** ($R' = C_6H_{11}, C_6H_5$) led, stereospecifically, to the formation of the *trans* diastereomers **6a** or **6b**, respectively (Scheme I).⁴ Evidence was presented to implicate the *N*_b-benzyl group in the observed stereospecificity of this process especially when aldehydes substituted with bulky groups (R') were employed in the cyclization. More recently, another modification of the process has been reported which employed the reaction of tryptophan alkyl esters with conjugated alkynoates, followed by in situ cyclization of the intermediate enamines in dichloromethane/trifluoroacetic acid.⁵ Both this variation and the original process^{1,4} have been used extensively for the synthesis of various optically active tetrahydro- β -carbolines^{4,6} including intermediates en route to indole alkaloid natural products such as pyridindolol,³ the fumitremorgins,⁷ and the eudistomin alkaloids.⁸

Ottenheijm et al.^{8a} have recently reported on the Pictet-Spengler reaction of *N*_b-hydroxyl- and *N*_b-(benzyloxy)tryptophan ethyl esters (**7a** and **7b**, respectively) with acetals **10a** or **10b** as models for the synthesis of eudistomin alkaloids (Scheme II). However, they observed that the reaction which employed the *N*_b-(benzyloxy)tryptophan ethyl ester **7b** ($PhCH_2ON_b$) did not proceed with the stereospecificity earlier observed in the case of the *N*_b-benzyltryptophan congener **5a** ($PhCH_2N_b$) executed in our laboratory.⁴ Instead substantial amounts (50%) of the *cis* diastereomer **8c** (Table I) were observed in the case of **7b**, although the solvent and reaction conditions were different

for the two cases. In regard to both the results of Ottenheijm^{8a} and our studies directed toward the synthesis of optically active tetrahydro- β -carbolines,⁹ it was of interest to determine if the oxygen substituent ($NOCH_2Ph$) in the Pictet-Spengler reaction of **7b** was responsible for the decreased stereospecificity (50/50) when compared to the *N*_b-benzyl tryptophan **5a** (100% *trans*, **6b**, $R' = C_6H_5$) reported earlier.⁴ For this reason the variations in solvent and substrate (**2**) between the two reaction processes^{4,8a} were eliminated. Ongoing investigations in this laboratory,^{4,9} and others,¹⁰ have explored the limits of the stereospecificity of the Pictet-Spengler reaction, and many of the reasons for variations in the *cis*/*trans* ratios have been cited. *Cis* stereoselectivity has been reported¹⁰ in the reaction of tryptophan methyl ester and a variety of aldehydes by performing the cyclization at low temperature. The generation, stereospecifically, of the *trans* isomer originally utilized the reaction between bulky aldehydes **2** (Scheme I), such as cyclohexanecarboxaldehyde (**2a**) or benzaldehyde **2b**, with *N*_b-benzyltryptophan methyl ester (**5a**) or with *N*_a-methyl-*N*_b-benzyltryptophan methyl ester (**5b**).⁴ More recent work has shown that aldehydes which occupy a smaller molecular volume than *tert*-butyl carboxaldehyde¹¹ or cyclohexanecarboxaldehyde **2a** yield both the *cis* and *trans* tetrahydro- β -carbolines, although the *cis* diastereomer represents a minor product in this process. For example, butyraldehyde on reaction with **5a** in refluxing benzene gave the *trans* (**4**) and *cis* (**3**) diastereomers in a ratio of 77:23,¹¹ while **5a** on heating with isobutyraldehyde gave the two diastereomers in a ratio of *trans* (95):*cis* (**5**).¹¹ It is, therefore, not surprising that the reaction of *N*_b-(benzyloxy)tryptophan ethyl ester (**7b**) with the small acetaldehyde equivalent **10a** (acetaldehyde dimethyl acetal) in CH_2Cl_2 /TFA observed by Ottenheijm^{8a} furnished substantial amounts of the *cis* isomer **8c**, as illustrated in Table I. As mentioned above, however, the oxygen functionality (see **7a**, **7b**) substantially alters the electronic properties of the starting amine as well as intermediates which result and may facilitate the additional loss of stereoselectivity.

In order to make comparisons, the reactions originally reported in refluxing benzene³ were carried out under the conditions of Ottenheijm^{8a} in dichloromethane/trifluoroacetic acid (CH_2Cl_2 /TFA). In addition, the (\pm)-*N*_b-methyl- and (\pm)-*N*_b-phenethyltryptophan methyl esters, **11b** and **11d**, respectively, were prepared to be employed as carbon analogues of the corresponding *N*_b-hydroxy- and *N*_b-(benzyloxy)tryptophan (**7a** and **7b**) derivatives. This permits a direct comparison between the effects of size and electronegativity (O vs C) on the stereoselectivity of the condensation. Due to the small size of acetaldehyde dimethyl acetal **10a**, substantial amounts of the *cis* diastereomer were observed, as expected when the *N*_b-tryptophans **11a**/**11b** were reacted with acetal **10a** (see Table II). However, significant trends were observed when the size of the substituent on the *N*_b-nitrogen atom was increased as discovered earlier in our laboratory.⁴ For example, the condensation of tryptophan methyl ester **11a** ($R = R' = H$) with **10a** yielded predominantly the *cis* diastereomer **12a** in a ratio of 75:25, while the corresponding reaction of the *N*_b-benzyl derivative **11c** with **10a** gave the *trans* diastereomer **14b** with high stereoselectivity [*cis* (16)/*trans* (84)] in agreement with earlier work.^{9,12} As the bulk of

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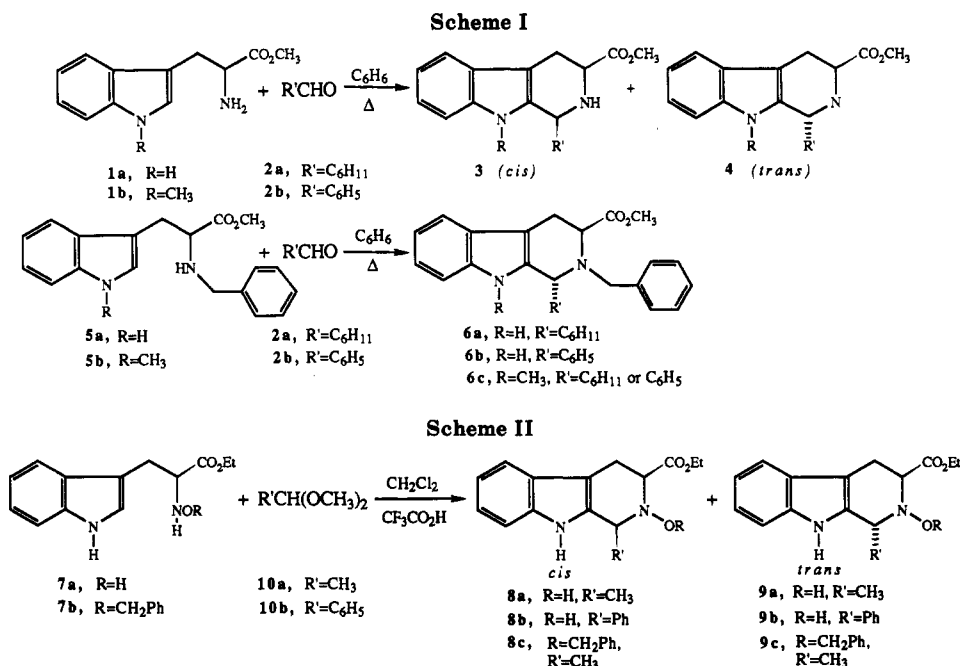
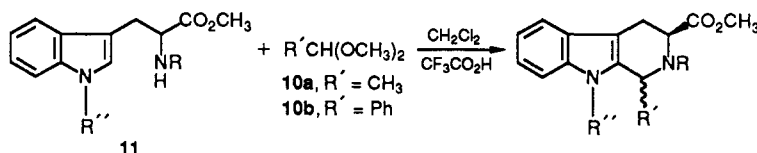


Table I

reactants	R	R'	products		time, h	yield, %
			cis	trans		
7a + 10a	H	CH ₃	8a, 2 (67)	9a, 1 (33)	72	95
7a + 10b	H	C ₆ H ₅	8b, 2 (40)	9b, 3 (60)	6	77
7b + 10a	CH ₂ Ph	CH ₃	8c, 1 (50)	9c, 1 (50)	3	96

Table II



	R''	R	R'	product ratios ^a		time, h
				cis (%)	trans (%)	
11a	H	H	CH ₃	12a (75)	12b (25)	48
11b	H	CH ₃	CH ₃	13a (66)	13b (34)	24
11c	H	CH ₂ Ph	CH ₃	14a (16)	14b (84)	72
11d	H	CH ₂ CH ₂ Ph	CH ₃	15a (16)	15b (84)	168
11e	H	CH ₂ Ph	C ₆ H ₅	16a (0)	16b (100)	48
17	CH ₃	CH ₃	CH ₃	18a (16)	18b (84)	288

^aThe stereochemistry and ratios of the cis and trans diastereomers were determined by ¹H and ¹³C NMR spectroscopy.^{12,13}

the *N*_b-alkyl substituent increases, H < CH₃ < CH₂Ph < CH₂CH₂Ph, the amount of trans diastereomer increases, as illustrated in Table II. Similarly, the formation of the trans product increases in the case of the oxygenated analogues (Table I) in going from H < OH < OCH₂Ph [(% trans) 25:33:50], although not as dramatically as observed for the *N*_b-alkyl analogues (Table II). Comparison of the data from Tables I and II indicates that the oxygen atom of 7b (*N*_bOCH₂Ph) clearly decreases the stereoselectivity [(%) 8c cis (50), 9c trans (50)] of the condensation in comparison to the *N*_b-benzyltryptophan 11c [(%) 14a cis (16), 14b trans (84)] and *N*_b-phenethyltryptophan 11d [(%) 15a cis (16), 15b trans (84)] methyl ester derivatives. The large differences in the cis/trans ratios for the isosteric benzoxo- and phenethyl-substituted tryptophans (7b and

11d) must result from the electronic contributions of the oxygen atom during the Pictet-Spengler reaction.

The comparison of the ratios obtained on reaction of the *N*_b-hydroxyl- and *N*_b-methyltryptophan derivatives, 7a and 11b, respectively, with acetal 10a is misleading. Condensation of both these amines provided the cis diastereomer in a ratio of 2:1 (cis/trans), and the similar size (OH vs CH₃) of the substituents would support the influence, predominantly, of steric effects on the stereoselectivity. However, the intermediate imine from hydroxylamine 7a can tautomerize to a nitron, which alters the reaction kinetics. The significance of this observation will be discussed in a later section.

The lesser p*K*_a of tryptophan methyl ester (11a) (7.98)¹⁴ in comparison to tryptamine (10.2) was employed previously to rationalize why 11a underwent the Pictet-Spen-

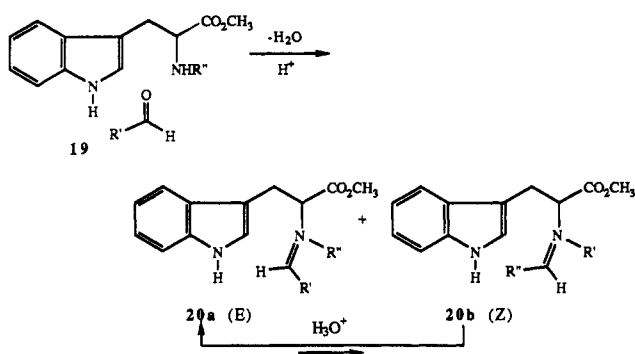
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Table III

amine	pK_a^{14}	amine	pK_a^{14}
CH_3NH_2	10.62	$(\text{CH}_3)_2\text{NOH}$	5.20
$(\text{CH}_3)_2\text{NH}$	10.73	$(\text{CH}_3)\text{NHOCH}_3$	4.75
CH_3NHOH	5.96	$(\text{CH}_3)_2\text{NOCH}_3$	3.65

Scheme III

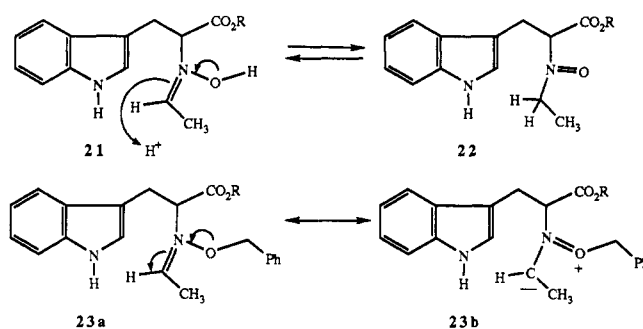


gler reaction more rapidly in refluxing benzene.^{3,4} The smaller value of the pK_a is indicative of the lower electron density on the N_b -nitrogen atom, the result of which is to provide a more electrophilic, reactive imine intermediate in the rate-determining step of the Pictet–Spengler reaction.¹⁵ This increases the rate at which the cyclization takes place.^{3,4} By analogy, other amines of lower pK_a would be expected to react faster in the Pictet–Spengler reaction than 11a. The pK_a 's of hydroxy- and methoxyamines are significantly lower than the corresponding methylamines (Table III), the former ($R_2\text{NOR}'$) of which would be expected to be more reactive, as observed in the cyclization (compare hours, Table I vs Table II).

The increased reactivity of the intermediate imine can be employed to explain the loss in trans stereoselectivity during reaction of the N_b -OR derivatives (7a, 7b) in comparison to their N_b -alkyl counterparts (11c, 11d). The intermediate imine can exist in both the *E* (20a) and *Z* (20b) forms, as illustrated in Scheme III. If the Pictet–Spengler reaction is rapid both stereoisomers of the imine will cyclize to provide a mixture of the *cis* and *trans* diastereomers. If, however, the cyclization is slower, then the *Z* imine 20b can isomerize to the more stable *E* isomer 20a, which may then undergo attack by the indole double bond (C_1 – C_2) from the face opposite the methoxycarbonyl group, as previously described.⁴ This would provide the *trans* diastereomer. Consequently, the more reactive (less selective) N_b -benzyloxy derivative 7b yields (with 10a) more of the *cis* diastereomer 8c (50:50) than does the N_b -phenethyltryptophan methyl ester 11d (*c/t*, 16:84) under the same conditions.

Although the N_b -hydroxyl analogue 7a would be anticipated to have a lower pK_a as compared with tryptophan methyl ester (see trend in Table III), the reaction of 7a with 10a is slow due to the tautomeric equilibrium between imine 21 and nitron 22 (Scheme IV). Although tautomer 21 may readily undergo cyclization, the nitron 22 cannot, and the *cis* isomer 8a is provided in a ratio (67:33) almost identical with that of the corresponding N_b -methyl analogue 11b (Table II). The influence of the nitron 22 has been to retard the rate of cyclization and permit equilibration to the *E* isomer (Scheme III). Cyclization via the *E* isomer from the face opposite the ester function⁴ would provide the *trans* diastereomer, although this process does not compete effectively with the cyclization, and the *cis*

Scheme IV



isomer 8a is still the major product by a 2:1 ratio. There is no corresponding tautomeric equilibrium available for the $N_b\text{OCH}_2\text{Ph}$ analogue 7b, and the dipolar resonance form 23b would not be expected to make a major contribution to the resonance hybrid. In this example, the influence of the oxygen atom (the $N_b\text{OCH}_2\text{Ph}$ series) is to increase the reaction rate (has a lower pK_a as compared to N_b -alkyl) and result in the formation of more of the *cis* isomer in relation to 11d ($N_b\text{CH}_2\text{CH}_2\text{Ph}$). The influence of the pK_a 's, the mechanism of the Pictet–Spengler reaction,¹⁵ and the influence of nitron 22 on the reaction rate all contribute to the stereoselectivity observed both within the class of N_b -oxygenated compounds (7a, 7b) themselves, as well as to the loss of stereoselectivity observed by Ottenheijm (compare 7b vs 11c).^{8a} The influence of the oxygen atom on this cyclization is to decrease the stereoselectivity at the expense of the *trans* diastereomer.

The sensitivity of the Pictet–Spengler reaction to steric factors can be demonstrated by comparison (Table II) of the *cis/trans* ratios of the N_a -methyl-substituted tetrahydro- β -carboline [compare 13a *cis* (66):13b *trans* (34) to 18a *cis* (16):18b *trans* (84)] to those of their unsubstituted counterparts. The condensation of 11b ($R'' = \text{H}$, $R = \text{CH}_3$) with 10a gave predominantly the *cis* diastereomer 13a, while the stereoselectivity of 10a with 17 ($R'' = \text{CH}_3$, $R = \text{CH}_3$) was reversed to provide the *trans* isomer 18b preferentially (84%).^{4,12} The reaction of the N_b -hydroxyl derivative 7a with benzaldehyde dimethyl acetal (10b) in $\text{CH}_2\text{Cl}_2/\text{TFA}$ gave predominantly the *trans* diastereomer [8b (*cis*) 40:9b (*trans*) 60]; moreover, the corresponding reaction of the N_b -benzyl derivative 11e with 10b gave exclusively the *trans* diastereomer 16b. The ratio of the *cis* and *trans* isomers 8b (40) and 9b (60) from 7a and 10b ($R' = \text{C}_6\text{H}_5$) is entirely consistent with the result provided here for the reaction of 7a with 10a ($R' = \text{CH}_3$), described above.

In summary, the electronic effect of the N_b -oxygen substituent (see 7a/7b) on the Pictet–Spengler reaction is to increase the rate of cyclization and decrease the *trans* stereoselectivity. The *cis/trans* ratio is dependent then on the reactivity and electronic character of the intermediate imine unless the nitron tautomer 22 intervenes in the equilibrium. While the stereospecificity previously observed with bulky aldehydes 10 $R = \text{C}_6\text{H}_{11}$, C_6H_5 , $(\text{CH}_3)_3\text{C}$] in the Pictet–Spengler reaction is decreased when aldehydes with smaller substituents such as 10a are employed, the stereoselectivity is still very high [14a (*cis*) 16%:14b (*trans*) 84%]. Further work is under way to thoroughly define the factors that affect the *cis/trans* ratios of the Pictet–Spengler reaction in aprotic media.

Experimental Section

Microanalysis was performed on an F and M Scientific Corp. Model 185 carbon, hydrogen, and nitrogen analyzer. Melting points were taken on a Thomas-Hoover melting point apparatus

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and are uncorrected. Proton NMR spectra were recorded on a Varian EM-360 or a Bruker 250-MHz NMR spectrometer, and ^{13}C NMR spectra were recorded on a Varian CFT-20 or a Bruker 250-MHz NMR spectrometer. Infrared spectra were taken on a Beckmann Acculab-1 instrument or a Mattson Polaris IR-10400, while mass spectral data were obtained on a Hewlett-Packard 5855 GC-mass spectrometer.

All chemicals were purchased from Aldrich Chemical Co. unless otherwise stated. Analytical TLC plates used were E. Merck Brinkmann UV active silica gel or alumina on plastic. Silica gel 60 and aluminum oxide for column chromatography were purchased from E. M. Laboratories and J. T. Baker, respectively. The TLC plates were visualized under UV light or developed with spray reagents. Alkaloids were visualized utilizing Dragendorff's reagent, which was prepared by adding a solution of bismuth subnitrate (8 g) in HNO_3 (70 mL, 30%) and an aqueous solution of potassium iodide (27.2 g, 50 mL) to water (100 mL), followed by filtration.

Methanol was dried over magnesium metal, and DMF was distilled from MgSO_4 under reduced pressure. Tetrahydrofuran (THF) and dioxane were distilled after drying over sodium with benzophenone added as an oxygen and water scavenger. *tert*-Butyl alcohol was distilled from CaH_2 , and anhydrous ethanol was obtained from U.S. Industrial Chemicals.

The stereochemistry of the mixture of 1,3-disubstituted tetrahydro- β -carbolines were assigned routinely by the ^{13}C NMR method devised by Cook et al.,¹² the corresponding 1,2,3-trisubstituted tetrahydro- β -carbolines were assigned by the modification of Bailey and Hollinshead.¹³

***N*_B-(2-Phenethyl)tryptophan Methyl Ester (11d).** (\pm)-Tryptophan methyl ester (2.97 g, 13 mmol) and phenylacetaldehyde (1.82 g, 15 mmol) were stirred in dry methanol (50 mL). After 30 min sodium cyanoborohydride (1.0 g) was added, and the mixture was stirred for 18 h. Aqueous hydrochloric acid (6 N, 50 mL) was added, and the solution was stirred an additional 30 min. The mixture was then brought to pH 10 with aqueous ammonia and extracted with ether (3 \times 100 mL). The ether extracts were combined, washed with brine, and dried (K_2CO_3). The solvent was removed under reduced pressure to provide a yellow oil (4.059 g). The oil was flash chromatographed on silica gel (EtOAc/hexane, 1:4) to provide the *N*_B-phenethyl derivative 11d (1.044 g, 24%) accompanied by two additional compounds (0.278 g, 7%), which were identified as the cyclized Pictet-Spengler products, *cis*- and *trans*-3-(methoxycarbonyl)-1-benzyl-1,2,3,4-tetrahydro-9H-pyrido[3,4-*b*]indole. These two diastereomers were not separated. *N*_B-(2-Phenethyl)tryptophan methyl ester (11d): mp (HCl salt) 152–4 °C; IR (NaCl, neat, free base) 3400 (NH), 1740 cm^{-1} ; ^1H NMR (CDCl_3 , free base) δ 2.65–2.92 (4 H, m), 3.15 (2 H, ABX, $J_{AB} = 14.4$, $J_{AX} = 7.1$, and $J_{BX} = 6.2$ Hz), 3.35 (3 H, s), 3.40 (1 H, m), 7.18 (1 H, s), 7.30–7.61 (8 H, m), 7.95 (1 H, d, $J = 7.6$ Hz), 8.62 (1 H, br s); ^{13}C NMR (CDCl_3 , 62.5 MHz) δ 29.07, 36.20, 49.39, 51.69, 62.00, 111.02, 111.14, 118.67, 119.40, 122.02, 122.80, 126.05, 127.37, 128.32, 128.61, 136.18, 139.58, 175.07; mass spectrum (CI, CH_4) m/e 323 ($M + 1$, 100); high-resolution mass spectrum, m/e 322.1679 ($\text{C}_{20}\text{H}_{22}\text{N}_2\text{O}_2$ requires 322.1681).

***cis/trans*-3-(Methoxycarbonyl)-1-benzyl-1,2,3,4-tetrahydro-9H-pyrido[3,4-*b*]indole:** IR (NaCl) neat 3440, 1730 cm^{-1} ; ^{13}C NMR (62.5 MHz, CDCl_3) δ (*cis/trans* peaks not differentiated) 28.79, 29.14, 39.41, 39.91, 50.30, 51.04, 52.10, 59.42, 60.56, 63.62, 110.84, 111.24, 118.74, 119.60, 122.19, 122.31, 122.75, 122.99, 127.43, 128.67, 129.40, 135.13, 136.19, 173.62, 173.90; ^1H NMR (250 MHz CDCl_3) δ 2.85–3.30 (4 H, m), 3.45–3.70 (2 H, m), 3.72 (3 H, s), 6.90–7.60 (9 H, m), 7.95–8.10 (1 H, overlapping broad singlets).

Pictet-Spengler Reaction: General Method. The appropriately substituted (\pm)-tryptophan methyl ester, acetaldehyde dimethyl acetal, and trifluoroacetic acid^{8,10} were stirred in methylene chloride until the starting ester was no longer observed by TLC. The reaction mixture was then basified with aqueous ammonia (14%, 50 mL) and extracted with methylene chloride. The organic layer was then washed with brine and dried (K_2CO_3). The solvent was removed under reduced pressure to produce an oil. The oil was then dissolved completely in CDCl_3 , and the *cis/trans* ratio was determined by integration of the 250-MHz ^1H NMR spectrum. The NMR spectrum was obtained on the crude reaction mixture without any attempt at separation of the

diastereomers to avoid loss of the minor isomers on the column support, which would result in an incorrect *cis/trans* ratio.

***cis/trans*-3-(Methoxycarbonyl)-1-methyl-1,2,3,4-tetrahydro-9H-pyrido[3,4-*b*]indole (12a and 12b).** Tryptophan methyl ester 11a (0.270 g, 1.2 mmol), acetaldehyde dimethyl acetal 10a (0.330 g, 3.7 mmol), and trifluoroacetic acid (0.420 g, 3.7 mmol) were stirred for 48 h to provide a dark yellow oil (0.282 g, 1.16 mmol, 97%), which comprised a mixture of two diastereoisomeric tetrahydro- β -carbolines 12a and 12b. The *cis/trans* ratio was determined by 250-MHz ^1H NMR spectroscopy to be 75:25 (*c/t*): IR (KBr) 3420, 1730 cm^{-1} ; ^1H NMR (CDCl_3) δ 1.44 (0.8 H, d, $J = 6.7$ Hz, CHCH_3 trans), 1.49 (2.2 H, d, $J = 6.7$ Hz, CHCH_3 cis), 2.20 (1 H, br s, NH), 2.72–3.22 (2 H, m), 3.75 (0.6 H, s, OCH_3 trans), 3.85 (2.4 H, s, OCH_3 cis), 3.91–4.16 (1 H, m), 4.22–4.48 (1 H, m), 7.00–7.51 (4 H, m), 7.85 (1 H, br s, indole NH); ^{13}C NMR (CDCl_3) 20.20, 21.39, 24.95, 25.85, 45.65, 48.27, 52.01, 52.07, 52.30, 56.47, 106.20, 107.17, 110.70, 110.77, 117.87, 119.23, 119.41, 121.49, 121.58, 126.90, 127.02, 135.81, 136.20, 136.37, 173.57, 174.10; mass spectrum (CI, CH_4) m/e 245 ($M + 1$, 100%); high-resolution mass spectrum, m/e 244.1202 ($\text{C}_{14}\text{H}_{16}\text{N}_2\text{O}_2$ requires 244.1212).

***cis/trans*-2-Benzyl-3-(methoxycarbonyl)-1-methyl-1,2,3,4-tetrahydro-9H-pyrido[3,4-*b*]indole (14a, 14b).** *N*_B-Benzyltryptophan methyl ester 11c (0.308 g, 1.0 mmol), acetaldehyde dimethyl acetal 10a (0.200 g, 2.2 mmol), and trifluoroacetic acid (0.253 g, 2.2 mmol) were stirred for 72 h to provide 14a and 14b as a dark yellow oil (0.320 g, 0.96 mmol, 96%). The *cis/trans* ratio was measured by ^1H NMR spectroscopy to be 16:84 (*c/t*): IR (KBr) 3420, 1725 cm^{-1} ; ^1H NMR (CDCl_3) δ 1.36 (0.6 H, d, $J = 6.7$ Hz, CHCH_3 cis), 1.45 (2.4 H, d, $J = 6.8$ Hz, CHCH_3 trans), 2.95–3.33 (m), 3.65 (s, OCH_3 cis), 3.70 (s, OCH_3 trans), 3.75–4.30 (m), 7.00–7.55 (9 H, m), 7.68 (1 H, s, NH); ^{13}C NMR (CDCl_3) 21.15, 22.26, 22.39, 51.07, 51.66, 52.79, 53.99, 57.05, 59.86, 106.41, 110.77, 118.13, 119.48, 121.61, 127.01, 127.21, 128.37, 128.45, 136.26, 140.05, 173.71; mass spectrum (CI, CH_4) m/e 335 ($M + 1$, 100%); high-resolution mass spectrum, m/e 334.1675 ($\text{C}_{21}\text{H}_{22}\text{N}_2\text{O}_2$ requires 334.1681).

***cis/trans*-2-(2-Phenylethyl)-3-(methoxycarbonyl)-1-methyl-1,2,3,4-tetrahydro-9H-pyrido[3,4-*b*]indole (15a, 15b).** *N*_B-(2-Phenylethyl)tryptophan methyl ester 11d (0.285 g, 0.89 mmol), acetaldehyde dimethyl acetal 10a (0.155 g, 1.72 mmol), and trifluoroacetic acid (0.196 g, 1.72 mmol) were stirred for 7 days to provide a dark yellow oil (0.302 g, 0.87 mmol, 97%). Two diastereomers were present as evidenced by TLC; the *cis/trans* ratio was measured by ^1H NMR analysis to be 16:84 (*c/t*). 15a,b: IR (neat) 3430, 1725 cm^{-1} ; ^1H NMR (CDCl_3) 1.40 (2.4 H, d, $J = 6.9$ Hz, CHCH_3 trans), 1.58 (0.6 H, d, $J = 6.9$ Hz, CHCH_3 cis), 2.70–3.21 (m), 3.65 (2.4 H, s, OCH_3 trans), 3.78 (0.6 H, s, OCH_3 cis), 3.98 (1 H, m), 4.24 (1 H, m), 7.02–7.53 (9 H, m), 7.93 (0.8 H, br s, NH trans) 8.05 (0.2 H, br s, NH cis). ^{13}C NMR (CDCl_3) 18.17, 21.09, 22.61, 22.99, 34.99, 36.38, 51.57, 51.86, 52.87, 52.98, 57.90, 61.25, 106.18, 106.56, 110.74, 118.04, 119.37, 119.50, 121.49, 121.59, 126.01, 127.11, 128.25, 128.43, 128.69, 128.93, 136.24, 136.38, 140.25, 140.40, 173.83, 173.94; mass spectrum (CI, CH_4) m/e 349 ($M + 1$, 100%); IR (neat) 3430, 1725 cm^{-1} . Data for 15b (trans): ^1H NMR (CDCl_3) δ 1.45 (3 H, d, $J = 6.9$ Hz), 2.71–3.15 (6 H, m), 3.61 (3 H, s), 3.98 (1 H, t, $J = 6.3$ Hz), 4.32 (1 H, q, $J = 6.2$ Hz), 7.01–7.52 (9 H, m), 7.80 (1 H, br s); ^{13}C NMR (CDCl_3) δ 21.17, 22.63, 36.42, 51.65, 51.89, 53.04, 57.93, 106.30, 110.77, 118.10, 119.45, 121.57, 126.06, 127.16, 128.31, 128.97, 136.25, 136.38, 140.43, 173.83; mass spectrum (CI, CH_4) m/e 349 ($M + 1$, 100%); high-resolution mass spectrum, m/e 348.1841 ($\text{C}_{22}\text{H}_{24}\text{N}_2\text{O}_2$ requires 348.1841).

***cis/trans*-2-Methyl-3-(methoxycarbonyl)-1-methyl-1,2,3,4-tetrahydro-9H-pyrido[3,4-*b*]indole (13a, 13b).** *N*_B-Methyltryptophan methyl ester 11b (0.291 g, 1.25 mmol), acetaldehyde dimethyl acetal 10a (0.250 g, 2.78 mmol), and trifluoroacetic acid (0.316 g, 2.78 mmol) were stirred for 24 h to provide a dark oil (0.320 g, 1.24 mmol, 99%). Two diastereomers were present as evidenced by TLC; the *cis/trans* ratio was measured by ^1H NMR spectroscopy to be 67:33 (*c/t*). 13a,b: IR (KBr) 3420, 1725 cm^{-1} ; ^1H NMR (CDCl_3) 1.45 (2 H, d, $J = 6.8$ Hz, CHCH_3 cis), 1.52 (1 H, d, $J = 6.7$ Hz, CHCH_3 trans), 2.33 (1 H, s, NCH_3 trans), 2.58 (2 H, s, NCH_3 cis), 2.92–3.21 (2 H, m), 3.68 (2 H, s, OCH_3 cis), 3.82 (1 H, s, OCH_3 trans), 3.93 (1 H, t, $J = 6.3$ Hz), 4.16 (1 H, q, $J = 6.3$ Hz), 7.05–7.51 (9 H, m), 7.83–7.92 (1 H, br, NH); ^{13}C NMR (CDCl_3) 17.63, 20.16, 22.17, 22.36, 35.23, 39.06, 51.63, 52.15, 53.30, 55.30, 59.47, 110.70, 110.79, 117.98, 119.32,

119.53, 121.44, 121.58, 126.99, 134.46, 135.79, 136.17, 173.25; mass spectrum (CI, CH₄), *m/e* 259 (M + 1, 100%); high-resolution mass spectrum, *m/e* 258.1369 (C₁₅H₁₈N₂O₂ requires 258.1368).

2-Methyl-3-(methoxycarbonyl)-1,9-dimethyl-1,2,3,4-tetrahydro-9H-pyrido[3,4-*b*]indole (18a, 18b). N₈,N₉-Dimethyl-tryptophan methyl ester 17 (0.014 g, 0.057 mmol), acetaldehyde dimethyl acetal 10a (0.010 g, 0.11 mmol), and trifluoroacetic acid (0.012 g, 0.11 mmol) were stirred for 12 days to provide 18a,b as a dark oil (0.0155 g, 0.057 mmol, 100%); the *cis/trans* ratio was measured by ¹H NMR analysis to be 14:86 (*c/t*): ¹H NMR (CDCl₃) δ 1.52 (3 H, d, *J* = 6.9 Hz, CHCH₃ *cis* and *trans*), 2.47 (2.6 H, s, NCH₃ *trans*), 2.57 (0.4 H, s, NCH₃ *cis*), 2.90-3.18 (2 H, m), 3.62 (3 H, s), 3.63-4.04 (2 H, m including a singlet (3 H) at 3.72), 7.01-7.50 (4 H, m); mass spectrum (CI, CH₄), *m/e* 273 (M + 1, 100%).

trans-2-Benzyl-3-(methoxycarbonyl)-1-phenyl-1,2,3,4-tetrahydro-9H-pyrido[3,4-*b*]indole (16b). N₆-Benzyl-tryptophan methyl ester 11e (0.308 g, 1.0 mmol), benzaldehyde dimethyl acetal 10b (0.310 g, 2.0 mmol), and trifluoroacetic acid (0.228 g, 2.0 mmol) were stirred for 48 h to provide a dark yellow oil, which was flash chromatographed on silica gel (hexane/EtOAc, gradient) to provide a light yellow oil (0.375 g, 95%) whose proton NMR and IR spectra were identical with those of the *trans* diastereomer 16b⁴ obtained from the reaction of N₆-benzyl-tryptophan methyl ester (11c) and benzaldehyde in refluxing benzene.⁴ No other products were observed in this reaction by TLC or from the NMR spectrum of the crude material.

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Registry No. 7a, 99708-04-0; 7b, 81095-85-4; 8a, 123050-53-3; 8b, 123050-54-4; 8c, 123050-55-5; 9a, 123050-56-6; 9b, 123050-57-7; 9c, 123050-58-8; 10a, 534-15-6; 10b, 1125-88-8; 11a, 7303-49-3; 11b, 123003-67-8; 11c, 73327-10-3; 11d, 123003-68-9; 12a, 50302-68-6; 12a (R' = CH₂Ph), 123003-76-9; 12b, 75196-51-9; 12b (R' = CH₂Ph), 123003-77-0; 13a, 123003-69-0; 13b, 123003-70-3; 14a, 93712-65-3; 14b, 93712-66-4; 15a, 123003-71-4; 15b, 123003-72-5; 16b, 123050-52-2; 17, 123003-73-6; 18a, 123003-74-7; 18b, 123003-75-8; H-DL-Trp-OMe, 7303-49-3; PhCH₂CHO, 122-78-1.

Primary Polyfluoroallylic Alcohols. Preparation and Isomerization into 2-Fluoroacrylic Acid Fluoride and 1-Fluoro Vinyl Ketones

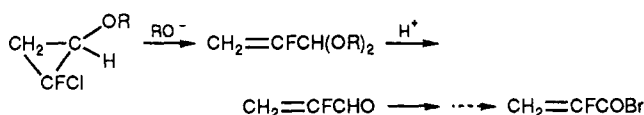
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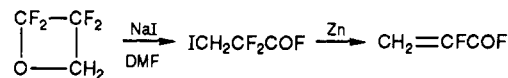
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Alkyl and aryl 2-fluoroacrylic acid esters have been used as starting materials for number of coating agents, dental polymers,¹ and special glass.² These esters have been commonly prepared from 2-fluoroacrylic acid halides CH₂=CFCOX (X = Cl,³ Br,⁴ F⁵). The recent processes for having these intermediates involved the rearrangement

Scheme I



Scheme II

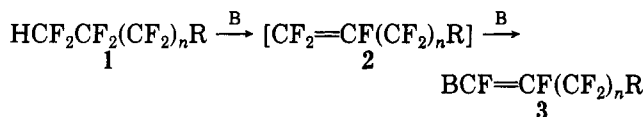


of an alkoxycyclopropane⁴ (Scheme I) or the opening of and oxetane by a nucleophile⁵ (Scheme II).

These two reactions brought great improvements over the previous method which used as starting material the very toxic 2-fluoroacetic derivatives.⁶ The cyclopropane route needs nevertheless several steps, and the oxetane⁷ is also toxic. Another possible way of obtaining 2-fluoroacrylic acid halides should be a rearrangement of 2-fluoroacrylic alcohols CX₂=CFCH₂OH if these alcohols are available. The allylic rearrangements were already performed on secondary and tertiary fluoroallylic alcohols,⁸ but not on a primary alcohol. The reason was certainly an absence of a practical method of preparation of these alcohols. Therefore we were searching a convenient method of obtaining primary polyfluoroallylic alcohols.

A few years ago, we showed that 1-H perfluoroalkyl chains are transformed into fluorinated olefins by action of strong bases like lithium dialkylamides⁹ or organolithium reagents¹⁰ (Scheme III).

Scheme III



This conversion was observed with alcohols HCF₂CF₂-(CF₂)_nCH₂OH when *n* was equal to 2, 4, or 6. The vinylic intermediate 2 was not isolated. This fluorinated olefin, activated by the electron-withdrawing difluoromethylene group, was steadily attacked by the organolithium reagent. However, the case of the alcohol HCF₂CF₂CH₂OH 4 corresponding to *n* equal to zero, was not examined at that time. Recently we were asking ourselves what could be the reactivity of the intermediate olefin 5 which is not activated by an adjacent electronegative group. Is it possible to stop the condensation at the intermediate step 5 in order to get the allylic alcohol 7 after hydrolysis? (See Scheme IV.)

We report here that this transformation can be performed under controlled conditions of temperature and reaction time. Addition of methyllithium to the alcohol 4 in diethyl ether at 0 °C and stirring during 5 h at room temperature led, after hydrolysis, to a mixture containing 74% of 7, 16% of 8, and 10% of the starting material 4 as shown by an NMR analysis. If the condensation is allowed to go to completion when the addition is performed at room temperature the substituted allylic alcohol 8 can

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